

12/07/00
3c944 U.S. PTO

Please type a plus sign (+) inside box → ☒

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **TI-28759**
First Named Inventor or Application Identifier **Erdal Paksoy, et al.**
Title **Sub-Band Speech Coding System**
Express Mail Label No. **EL 547739433US**

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

[Total Pages **9**]
3. ☒ Drawing(s) (35 USC d113)

[Total Sheets **1**]
4. ☒ Oath or Declaration

[Total Pages **1**]

 - a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4b, is considered as
being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identical of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR §3.73(b) Statement
(when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity Statement(s)
(PTO/SB/09-12) ☐ Statement filed in prior application
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
if foreign priority is claimed
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

| | | | | | |
|---------|-------------------------|-----------|--------------|----------|--------------|
| NAME | Robert L. Troike | | | ZIP CODE | 75265-5474 |
| ADDRESS | P.O. Box 655474 MS 3999 | | | FAX | 202-639-7890 |
| CITY | Dallas | STATE | TX | | |
| COUNTRY | US | TELEPHONE | 202-639-7710 | | |

| | | | |
|-------------------|-------------------------|-----------------------------------|---------|
| Name (Print/Type) | Robert L. Troike | Registration No. (Attorney/Agent) | 24,183 |
| Signature | <i>Robert L. Troike</i> | Date | 12/7/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

12/07/00

3c944 U.S. PTO

PTO/SB/17 (1/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Express Mailing Label No.: EL547739433US

Complete If Known

| | |
|----------------------|----------------------|
| Application Number | TBD |
| Filing Date | Herewith |
| First Named Inventor | Erdal Paksoy, et al. |
| Examiner Name | TBD |
| Group / Art Unit | TBD |
| Attorney Docket No. | TI-28759 |

TOTAL AMOUNT OF PAYMENT (\$)**710.00****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge to the following Deposit Account,

Deposit Account Number

20-0668

Deposit Account Name

Texas Instruments Incorporated

- ☒ Charge any additional fee required or credit any overpayment ☐ Charge all indicated fees and any additional fee required or credit any overpayment

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 710 | 201 | 395 | Utility filing fee | \$710 |
| 106 | 310 | 206 | 165 | Design filing fee | \$ |
| 107 | 480 | 207 | 270 | Plant filing fee | \$ |
| 108 | 760 | 208 | 395 | Reissue filing fee | \$ |
| 114 | 150 | 214 | 75 | Provisional filing fee | \$ |

SUBTOTAL (1) (\$)**710****2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20 | -20** = 0 | 18 | 0 |
| Independent Claims | 3 | -3** = 0 | 80 |
| Multiple Dependent | | 260 | |

**or number previously paid, if greater; For Reissue, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 103 | 18 | 203 | 11 | Claims in excess of 20 |
| 102 | 78 | 202 | 41 | Independent Claims in excess of 3 |
| 104 | 260 | 204 | 135 | Multiple dependent claims in excess of 3 |
| 109 | 78 | 209 | 41 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 11 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 380 | 216 | 200 | Extension of time within second month | |
| 117 | 870 | 217 | 475 | Extension of time within third month | |
| 118 | 1,360 | 218 | 755 | Extension of time within fourth month | |
| 128 | 1,850 | 228 | 1,030 | Extension of time within fifth month | |
| 119 | 300 | 219 | 155 | Notice of Appeal | |
| 120 | 300 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 660 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 660 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 225 | Design issue fee | |
| 144 | 580 | 244 | 335 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt. | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (time number of properties) | |
| 146 | 760 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 760 | 249 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify)

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **0****SUBMITTED BY**

Typed or Printed Name

Robert L. Troike

Signature

Robert L. Troike

Date

12/2/00

Complete (if applicable)

Reg. Number

24,183

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Erdal Paksoy, et al.

Serial No.: TBD

Filed: Herewith

For: Sub-Band Speech Coding System

TI-28759

Examiner: TBD

Art Unit: TBD

LETTER TO THE OFFICIAL DRAFTSPERSON

"EXPRESS MAILING" Mailing Label No. EL547739433US I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 CFR 1.10 on the date shown below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Robert L. Troike 12/7/01
Robert L. Troike, Reg. No. 24,183 Date

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is **ONE (1)** sheet of formal drawings for the above-referenced case. Please charge any necessary fees to Deposit Account No. 20-0668 of Texas Instruments Incorporated. This sheet is enclosed in triplicate.

Respectfully submitted,

Robert L. Troike
Robert L. Troike
Attorney for Applicants
Reg. No. 24,183

Texas Instruments Incorporated
P.O. Box 655474, M/S 3999
Dallas, TX 75265
(202) 639-7710